



YOLO COUNTY

Mental Health Services Act

**Community Services and Supports (CSS) and
Prevention and Early Intervention (PEI) FY 2009/10
Prudent Reserve Funding Request**

Background Information:

On August 6, 2009, the California Department of Mental Health (DMH) issued DMH Information Notice No. 09-16, Mental Health Services Act Local Prudent Reserve (Prudent Reserve) Fiscal Policy Changes. This information notice, which includes a detailed review of the mechanisms and restrictions associated with funding the county's Prudent Reserve, may be found on the Internet at http://www.dmh.ca.gov/DMHDocs/2009_Notices.asp.

In pertinent part, Information Notice No. 09-16 states:

Because the [Mental Health Services] Act does not specify the 20% transfer from CSS funding mechanism until FY 2008-2009, DMH has determined that Counties may use both CSS and PEI funds made available prior to FY 2008/09 to fund their Prudent Reserve. This would include CSS and PEI funds that were approved through a Plan update and distributed to Counties, but which have not been expended (unexpended funds) and/or funds that were not requested or approved (unapproved funds) remaining in Planning Estimates for years prior to FY 2008/09.

In other words, Yolo County is able to request that unspent or unapproved CSS or PEI funding from Fiscal Year 2007-08 be dedicated to its Local Prudent Reserve.

In Fiscal Year 2007-08, Yolo County was in the community planning stage and did not yet have an approved PEI component of its MHSA Plan. As a result, much of Yolo County's Prevention and Early Intervention Planning Estimate for FY 2007-08 (\$570,700) remained unspent. Yolo County proposes dedicating \$480,017 of unspent Prevention and Early Intervention (PEI) funding from Fiscal Year 2007-08 to its Local Prudent Reserve.

DMH has given California counties until June 30, 2011 to fund their Local Prudent Reserves under MHSA at an amount equivalent to 50 percent (50%) of the most recent approved funding level for the Community Services and Supports (CSS) and Prevention and Early Intervention (PEI) levels. Yolo County's Local Prudent Reserve should be approximately \$2.3 Million dollars; however, due to current economic conditions, only a small percentage of funds have been set aside to date.

In order to contribute funding to its Local Prudent Reserve, Yolo County Department of Alcohol, Drug and Mental Health will submit the attached *Mental Health Services Act (MHSA) Community Services and Supports (CSS) and Prevention and Early Intervention (PEI) FY 2009/10 Prudent Reserve Funding Request* to DMH following the 30-day public review and comment period, as set forth below.

Documentation of the local review process:

Commencing May 14, 2010 and ending at 5:00 p.m. on June 14, 2010, the *Mental Health Services Act (MHSA) Community Services and Supports (CSS) and Prevention and Early Intervention (PEI) FY 2009/10 Prudent Reserve Funding Request* for Yolo County was posted for 30-day public review and comment on the county's website:
<http://www.yolocounty.org/Index.aspx?page=993>.

Paper copies of the document were sent to all public libraries in Yolo County, with the request that the document be made available for public viewing at the resource desk during regular hours of operation.

Paper copies of the document were delivered to mental health service centers in Woodland, Davis and West Sacramento, and to the Department of Social Services "One-Stop" center in Woodland.

The stakeholder community was notified of the public posting and public review process by e-mail to all interested parties and by the publishing of announcements in the Woodland Daily Democrat and the Davis Enterprise, local newspapers with daily circulation.

To facilitate public comment, copies of the *Mental Health Services Act (MHSA) 30-Day Public Comment Form* were attached to this document. Public comment received is summarized and/or attached as follows: [pending]

Mental Health Services Act (MHSA)
 Community Services and Supports (CSS) and Prevention and Early Intervention (PEI)
 FY 2009/10 Prudent Reserve Funding Request

County: Yolo

Date: 5/12/2010

Instructions: Utilizing the following format please provide a plan for achieving and maintaining a local Prudent Reserve.

Most Recent Annual Approved Funding Level

A. CSS Annual Funding Level for Services	\$	<u>\$3,250,878</u>	2008/09	
B. PEI Annual Funding Level for Services	\$	<u>\$792,545</u>	2008/09	
Total (A + B):				\$ <u>\$4,043,423</u>
C. Less: Total Non-Recurring Expenditures CSS and PEI				- <u>\$0</u>
Subtract any identified non-recurring expenditures for CSS and/or PEI, included in A and B above.				
D. Plus: Total Administration CSS and PEI				+ <u>\$637,177</u>
Enter the total administration funds requested for CSS and/or PEI. 2008/09				
E. Sub-total				<u>\$4,680,600</u>
F. Maximum Prudent Reserve (50%)				<u>\$2,340,300</u>
Enter 50%, or one-half, of the line item E sub-total. This is the estimated amount the County must achieve and maintain as a local Prudent Reserve by June 30, 2011.				
G. Prudent Reserve Balance from Prior Approvals				<u>\$34,052</u>
Enter the total amounts previously approved through Plan Updates for the local Prudent Reserve.				

Amounts Requested to Dedicate to Local Prudent Reserve

H. Plus: CSS Component

Enter the Sub-total amount of funding requested for CSS in H.

*FY 2009/10	Unapproved Funds	\$	<u>\$0</u>	
	Unspent Funds	\$	<u>\$0</u>	
*FY 2008/09	Unapproved Funds	\$	<u>\$0</u>	
	Unspent Funds	\$	<u>\$0</u>	
FY 2007/08	Unapproved Funds	\$	<u>\$0</u>	
	Unspent Funds	\$	<u>\$0</u>	
Sub-total:				+ <u>\$0</u>

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I. Plus: PEI Component

Enter the Sub-total amount of funding requested for PEI in I.

FY 2007/08	Unapproved Funds	\$	<u>\$0</u>	
	Unspent Funds	\$	<u>\$480,017</u>	
Sub-total:				+ <u>\$480,017</u>

J. Total Amount Requested to Dedicate to Local Prudent Reserve

\$480,017

Enter the sum of lines H and I.

K. Prudent Reserve Balance

\$514,069

Enter the sum of G and J.

L. Prudent Reserve Shortfall to Achieving 50% (Describe below)

-\$1,826,231

Subtract line K from line F. A positive amount indicates that the County has not dedicated sufficient funding to the local Prudent Reserve. Please describe how the County intends to reach the 50% requirement by June 30, 2011; for example indicate future increases in CSS planning estimates that will be dedicated to the local Prudent Reserve before funding any program expansion.

County will deposit any unspent CSS funds from FY0910, as well as consider redirecting up to 20% of the CSS planning estimates for future fiscal years. If required, County will dedicate future increases in planning estimates before funding program expansions.

Signature _____

Name and Title Kim Suderman, Director

**Per WIC Section 5892 (b), Counties shall not exceed 20% of the average amount of funds allocated to the County for the previous five years.*

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Yolo County Department of Alcohol, Drug and Mental Health Services

Mental Health Services Act (MHSA) 30-Day Public Comment Form

Public Comment Period—May 14, 2010 through June 14, 2010

Documents Posted for Public Review and Comment:

- MHSA Three-Year Program and Expenditure Plan—Annual Update FY 2009-10**
- MHSA Community Services and Supports (CSS) and Prevention and Early Intervention (PEI) FY 2009-10 Prudent Reserve Funding Request**
- Supplemental MHSA PEI Statewide Programs Assignment Agreement**

(Check only one box above and comment on only one document per form. You may submit multiple forms.)

PERSONAL INFORMATION (optional)

Name: _____

Agency/Organization: _____

Phone Number: _____ Email address: _____

Mailing address: _____

What is your role in the Mental Health Community?

___ Client/Consumer

___ Family Member

___ Educator

___ Social Services Provider

___ Mental Health Service Provider

___ Law Enforcement/Criminal Justice Officer

___ Probation Officer

___ Other (specify) _____

Please write your comments below:

If you need more space for your response, please feel free to submit additional pages.

After you complete this comment form, please return it to ADMH/MHSA before June 14, 2010 in one of three ways:

- Fax this form to (530) 661-6762, Attn: MHSA Coordinator
- Mail this form to ADMH/MHSA, Attn: MHSA Coordinator, 137 N. Cottonwood St., Suite 1530, Woodland, CA 95695
- Hand deliver this form to ADMH/MHSA, Attn: MHSA Coordinator, 137 N. Cottonwood St., Suite 1530, Woodland, CA 95695